



17231 U.S. PTO

Express Mail Label Number: EU907987384US

Practitioner's Docket No. 1999CH017/D

PATENT

Preliminary Classification: Proposed Class: Subclass:
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Mail Stop Patent Application  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

031356 U.S. PTO  
10/762982

012204

NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of

**Inventor(s): Achim KOHLER, Gerd MARTIN, Christian WEIGL and Josef WEIGL**

**For (title): Surface Finishing Of Paper Or Board, And Agent For This Purpose**

**1. Type of Application**

This transmittal is for a divisional application under 37 CFR Section 1.53(b).

**2. Benefit of Prior U.S. Application (35 U.S.C. Sections 119(e), 120, or 121)**

The new application being transmitted claims the benefit of prior U.S. application SN 10/070,622 which was filed on March 4, 2002.

**3. Papers Enclosed**

CERTIFICATION UNDER 37 CFR 1.10

Express Mail Label Number: EU883124149US

Date of Mailing: January 22, 2004

I hereby certify that on the date indicated above, this new U.S. patent application and the papers indicated as enclosed therein, is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" addressed to: Commissioner for Patents, Mail Stop Patent Application, P. O. Box 1450, Alexandria, VA 22313-1450, in accordance with 37 CFR 1.10.

\_\_\_\_\_  
Signature of Person Mailing the Application

Vicki L. Sgro  
Typed Name of Person Mailing the Application

*\* Only the date of filing (§ 1.6) will be the date used in a patent term adjustment calculation, although the date on any certificate of mailing or transmission under § 1.8 continues to be taken into account in determining timeliness. See § 1.703(f). Consider "Express Mail Post Office to Addressee" (§ 1.10) or facsimile transmission (§ 1.6(d)) for the reply to be accorded the earliest possible filing date for patent term adjustment calculations.*

A. Required for filing date under 37 C.F.R. 1.53(b) (Regular) or 37 C.F.R. 1.153 (Design)  
Application

**28 Pages of Specification**  
**2 Pages of Claims**  
**0 Sheet of Drawing--Formal**

B. Other Papers Enclosed

**3 Pages of declaration and power of attorney**  
**1 Page of abstract**  
**5 Pages of Preliminary Amendment**  
**1 Page Certification Under 37 CFR 1.10**

**4. Declaration or Oath**

Copy of the executed Declaration filed in SN: 10/070,622 is enclosed.

**5. Inventorship Statement**

The inventorship for all the claims in this application is the same.

**6. Language**

English

**7. Assignment**

The parent application is assigned to Clariant Finance (BVI) Limited and was recorded by the USPTO on March 4, 2002, Reel/Frame: 012881/0927. Also, recordation regarding the present divisional application is being submitted herewith herewith, along with an Assignment Recordation Transmittal.

**12. Instructions as to Overpayment**

Credit Account No. 03-2060.

**13. Relate Back**

Amend the specification by inserting, before the first line, the following sentence:

This application is a Divisional application of co-pending Application Serial No. 10/070,622 filed on March 4, 2002, the contents of which is hereby incorporated by reference.

**14. Relate Back--35 U.S.C. Section 119 Priority Claim for Prior Application**

The prior U.S. application, including any prior International Application designating the U.S., identified above in item 13, in turn itself claims foreign priority as follows:

Country: Europe

Application No.: 99810808.8 filed on September 8, 1999 and  
PCT/IB00/01269 filed 08 September 2000

The certified copy of the priority document has been received and acknowledged on October 22, 2003 in the Notice of Allowability.

**15. Maintenance of Coadependency of Prior Application**

A. The Parent application SN 10/070,622 was allowed on October 22, 2003, and the issue fee was paid by facsimile on January 22, 2004.

**16. Further Inventorship Statement Where Benefit of Prior Application(s) Claimed**

a. This application discloses and claims only subject matter disclosed in the prior application whose particulars are set out above and the inventors in this application are the same.

**8. Fee Calculation (37 C.F.R. Section 1.16)**  
Regular Application

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**CLAIMS AS FILED**

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Claims	Number Filed	Basic Fee Allowance	Number Extra	Rate	Basic Fee 37 CFR 1.16(a) \$770.00
Total Claims (37 CFR 1.16(c))	6	- 20 =	0 x	\$18.00	\$00.00
Independent Claims (37 CFR 1.16(b))	1	- 3 =	0 x	\$86.00	\$0.00
Multiple Dependent Claim(s), if any (37 CFR 1.16(d))			+	\$290.00	\$0.00

Filing Fee Calculation

\$770.00

Fee for filing Recordation of Assignment

\$ 40.00

**9. Fee Payment Being Made at This Time**

Enclosed

Filing Fee

\$810.00

**Total Fees Enclosed**

\$810.00

**10. Method of Payment of Fees**

Charge Account No. 03-2060 in the amount of \$810.00.  
A duplicate of this transmittal is attached.

**11. Authorization to Charge Additional Fees**

The Commissioner is hereby authorized to charge the following additional fees by this paper and during the entire pendency of this application to Account No. 03-2060.

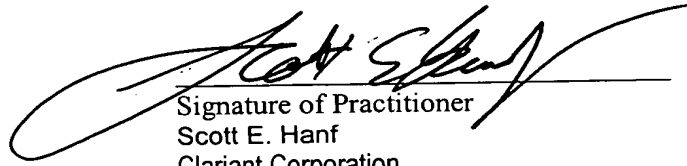
37 C.F.R. Section 1.16(a), (f) or (g) (filing fees)

Date: January 22, 2004

Reg. No.: 38,906

Tel. No.: 704-331-7140

Customer No.: 25,255

A handwritten signature in black ink, appearing to read "Scott E. Hanf", is written over a horizontal line.

Signature of Practitioner

Scott E. Hanf

Clariant Corporation

Industrial Property Department

4000 Monroe Road

Charlotte, North Carolina 28205

Direct Dial: 704/331-7140

Facsimile: 704/331-7707

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

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**CERTIFICATION UNDER 37 CFR 1.10**

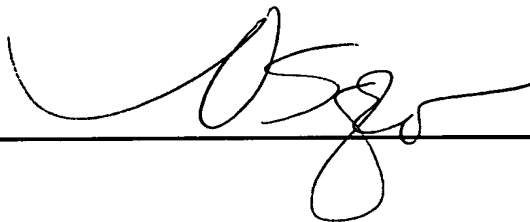
I hereby certify that on the date indicated above, this NEW UTILITY PATENT APPLICATION TRANSMITTAL and the papers indicated as enclosed therein, of:

**Inventor(s):      Achim KOHLER, Gerd MARTIN,  
                         Christian WEIGL and Josef WEIGL**

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\_\_\_\_\_  
Vicki L. Sgro

A handwritten signature in black ink, appearing to read 'V. Sgro', is written over a horizontal line.